

# fNIRS-based neuropharmacological assessment on children with attention deficit/hyperactivity disorder (ADHD)

Ippeita Dan<sup>1</sup>, Yukifumi Monden<sup>2</sup>, Masami K  
Yamaguchi<sup>1</sup>, So Kanazawa<sup>3</sup> and Ryoichi Sakuta<sup>4</sup>  
on behalf of RISTEX ADHD Diagnosis Consortium

<sup>1</sup>Chuo University,

<sup>2</sup>Jichi Medical University/International  
University of Health and Welfare,

<sup>3</sup>Japan Women's University,

<sup>4</sup>Dokkyo Medical University

Today's slides are  
available at:

Just google: [dan fnirs](#)

Or visit: [brain-lab.jp](http://brain-lab.jp)

# Brief introduction of ADHD

Why is fNIRS suitable for ADHD study?

# Attention-Deficit Hyperactivity Disorder



ADHD is the most prevalent psychiatric disorder of childhood characterized by heterogeneous phenotypes including

- 1) Age-inappropriate inattention
- 2) Impulsivity
- 3) Hyperactivity

ADHD prevalence rate: 3-7%.

(Polanczyk Am J Psychiatry, 2007)

ADHD symptoms are most often identified during early elementary school years.

Later in school age, ADHD patients tend to suffer from academic difficulties and develop anti-social behaviors.

ADHD persists into adolescence and adulthood in 65% to 85% of cases, leads to impaired educational and vocational performance

# Assessment of ADHD

## DSM (now 5) (Diagnostic and Statistical Manual of Mental Disorders)

Inattention: **Six or more symptoms of inattention** for children up to age 16, or **five or more** for adolescents 17 and older and **adults**; symptoms of inattention have been present for at least 6 months, and they are inappropriate for developmental level:

- Often has trouble holding attention on tasks or play activities.
- Often has trouble organizing tasks and activities.
- Often loses things necessary for tasks and activities (e.g. school materials, pencils, books, tools, wallets, keys, paperwork, eyeglasses, mobile telephones).
- Is often easily distracted
- Is often forgetful in daily activities.

**Professor Dan,  
You must have  
ADHD!**



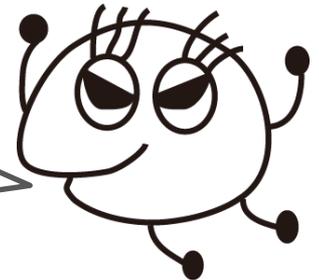
# Assessment of ADHD, continued

## DSM (now 5) (Diagnostic and Statistical Manual of Mental Disorders)

**Hyperactivity and Impulsivity:** Six or more symptoms of hyperactivity-impulsivity for children up to age 16, or **five or more** for adolescents 17 and older and **adults**; symptoms of hyperactivity-impulsivity have been present for at least 6 months to an extent that is disruptive and inappropriate for the person's developmental level:

- Often unable to play or take part in leisure activities quietly.
- Is often "on the go" acting as if "driven by a motor".
- Often talks excessively.
- Often blurts out an answer before a question has been completed.
- Often has trouble waiting his/her turn.

**Professor Dan,  
You must definitely  
have ADHD!**



# Too subjective, Need for objective measurement

Currently, ADHD diagnosis is heavily dependent on **subjective measure**.

Assessors are parents, grand parents, teachers etc. They are unexperienced raters.

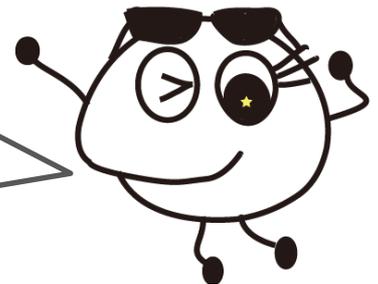
There are no cut-off criteria.

Objective biomarker is necessary

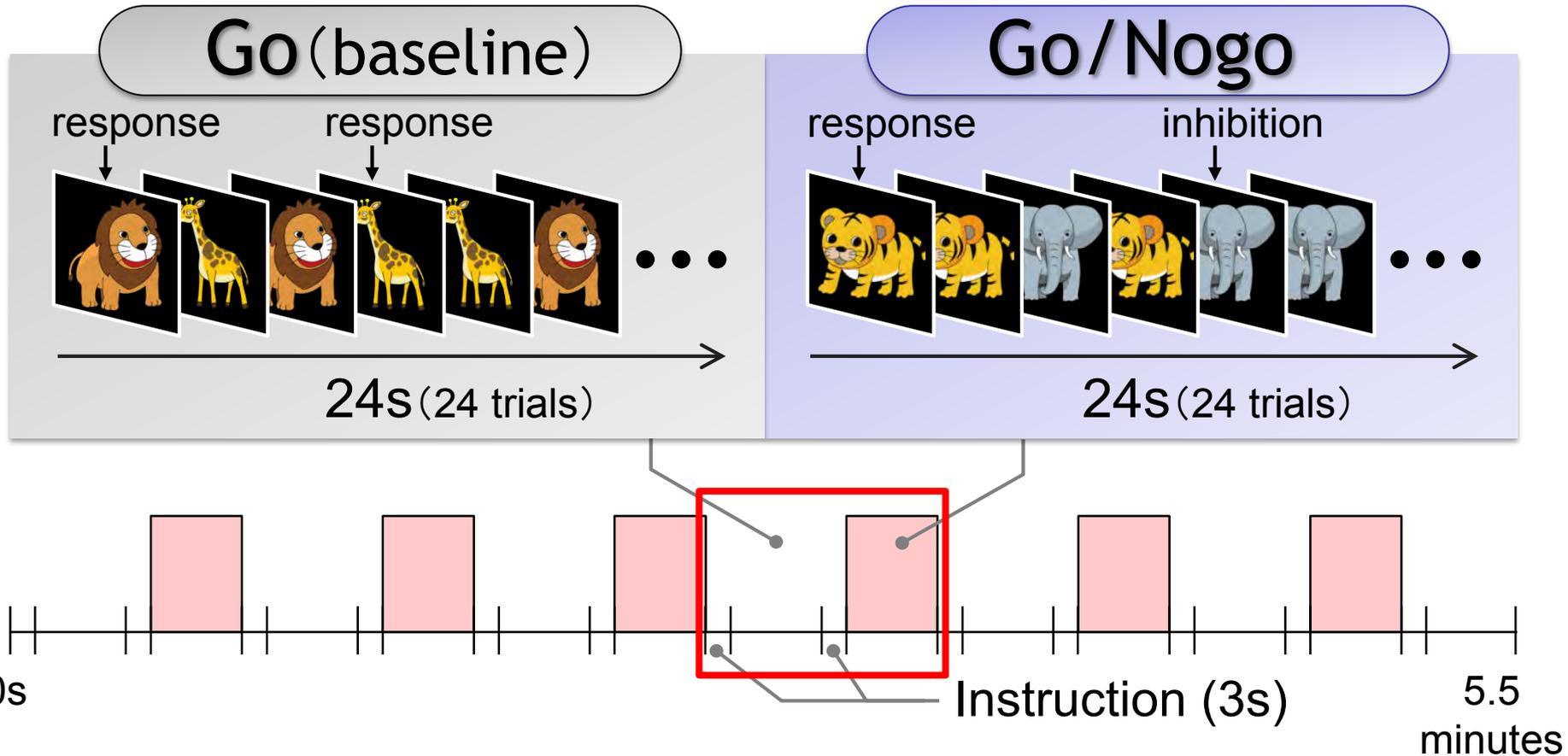


*Just a Barnum effect.  
Applicable to anybody.*

**Why don't you  
check your  
behaviors?**



# Go/Nogo task to measure inhibition



Response: Press the button

Inhibition: Not to press the button

# Go/no-go task performance data for Typically Developing and ADHD children

	TD		ADHD		ADHD vs TD		
	Mean	SD	Mean	SD	t	p	Sig
<b>RT for correct trials (ms)</b>	421.4	57.5	385.5	96.8	1.275	0.214	n.s.
<b>Accuracy for go trials (%)</b>	96.5	5.5	86.2	21.9	1.829	0.085	n.s.
<b>Accuracy for no-go trials (%)</b>	95.2	4.5	86.6	11.9	2.688	0.014	*

6-14 years old, N=16

\*,  $p < 0.05$  Bonferroni-corrected; \*\*,  $p < 0.01$  Bonferroni-corrected; n.s., not significant.  
SD, standard deviation;  $t$ ,  $t$ -value;  $p$ ,  $p$ -value; Sig, Statistical significance

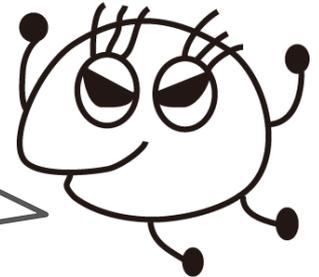
# Need of examining brain

Behavioral performance data do not always offer clear-cut results



**So, I don't think I  
have ADHD**

**Remember how you are  
in your everyday life!  
Why don't you check  
your brain?**



Objective **neuro**biomarker is wanted

# fMRI does not offer an ideal environment for ADHD children



Highly restrictive and ADHD children with hyperactivity cannot stay still in a scanner

# fNIRS offers distinct advantages

- Compactness
- Tolerance to body motion
- Accessibility

All these merits contribute towards ADHD studies



Miyai et al.,  
NeuroImage (2001)



Okamoto et al.,  
NeuroImage (2004)



Ono et al.,  
NeuroImage (2014)

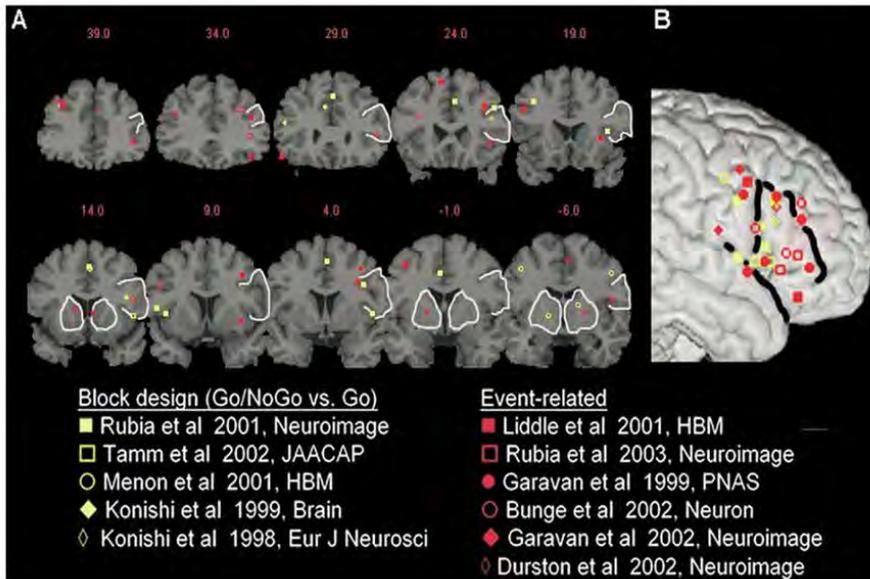
fNIRS offers an ~~ideal~~  
acceptable environment  
for ADHD children



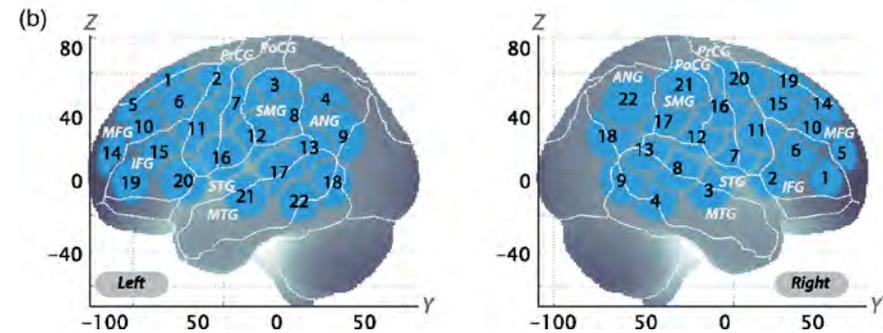
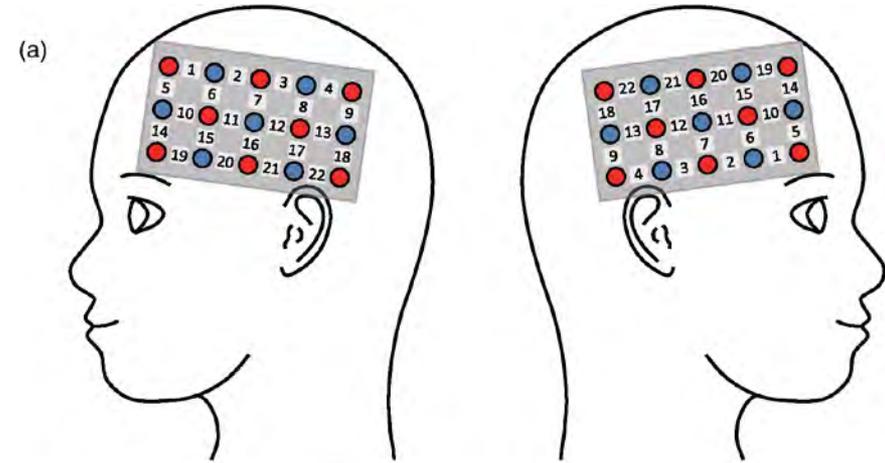
# ADHD vs TD

Are they different in cortical representation?  
Can they be distinguished?

# fNIRS probe placement for Go/Nogo task



Aron et al., 2005



- Channel positions are probabilistically registered to MNI space using 3D digitizer
- MFG, IFG, SMG, AnG are covered Tsuzuki & Dan, NeuroImage (2014)

# fNIRS analysis during Go/Nogo tasks



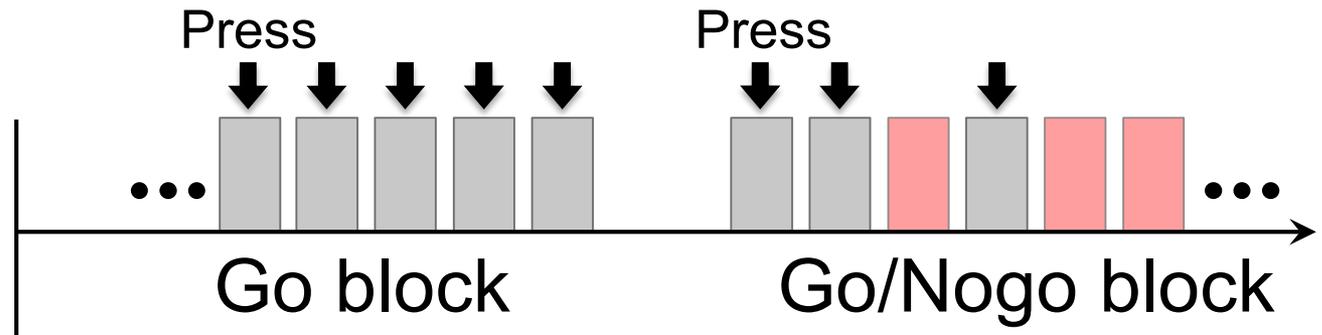
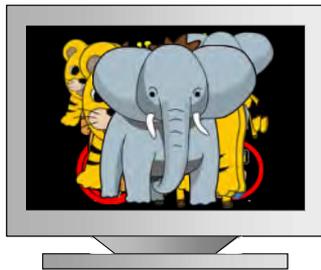
Measurement of Oxy-Hb changes

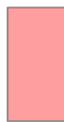


Inhibition

Motor response

Motor response

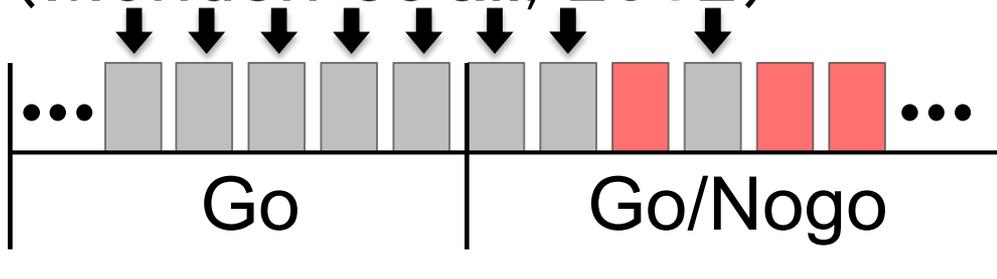


 We asked subjects not to press the button when the elephant was displayed.

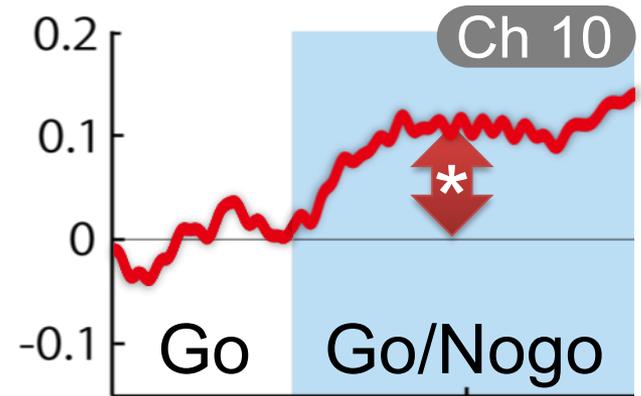
# Cortical activation

TD control (n=16)

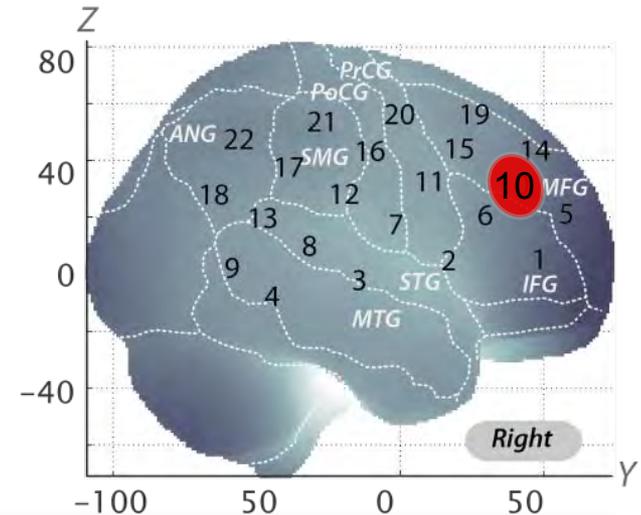
(Monden et al., 2012)



	<b>ES</b>	<b>P</b>
<b>Ch 10</b>	<b>1.15</b>	<b>0.0003</b>



Activation

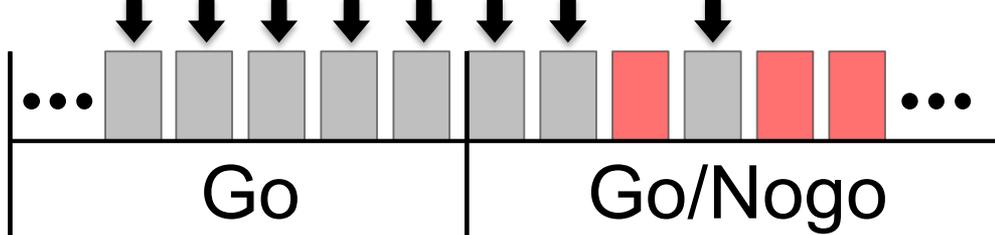


Control subjects exhibited significant brain activation in the right IFG/MFG

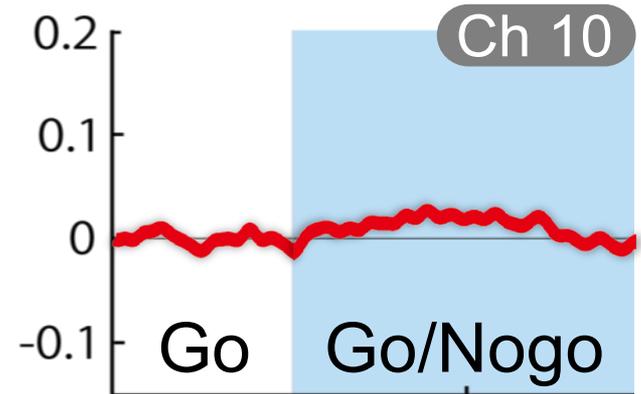
# Cortical activation

**ADHD** (n=16, DSM-IV)

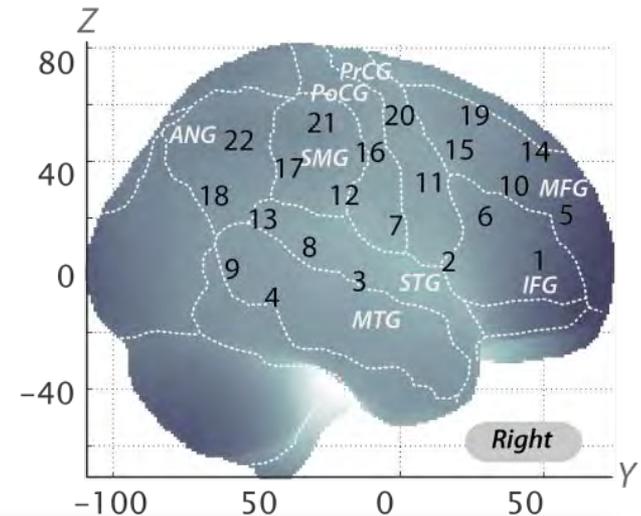
(Monden et al., 2012)



	<b>ES</b>	<b>P</b>
<b>Ch 10</b>	<b>0.01</b>	<b>0.900</b>



**No activation**



Pre-medicated ADHD children exhibited reduced brain activation in the right IFG/MFG

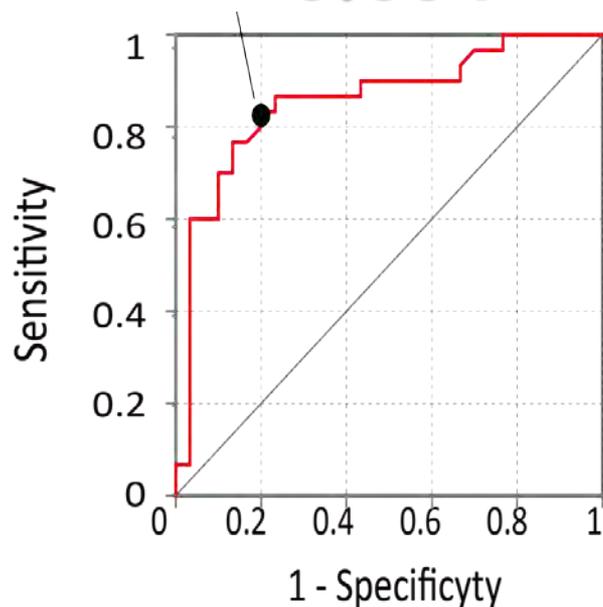
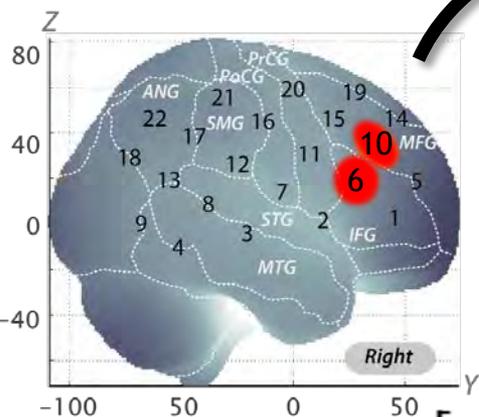
# Individual Analysis

Given such marked activation, fNIRS-based diagnosis may be possible at an individual level

# Individual-level analysis may be possible

Cut-off Value: **0.004**

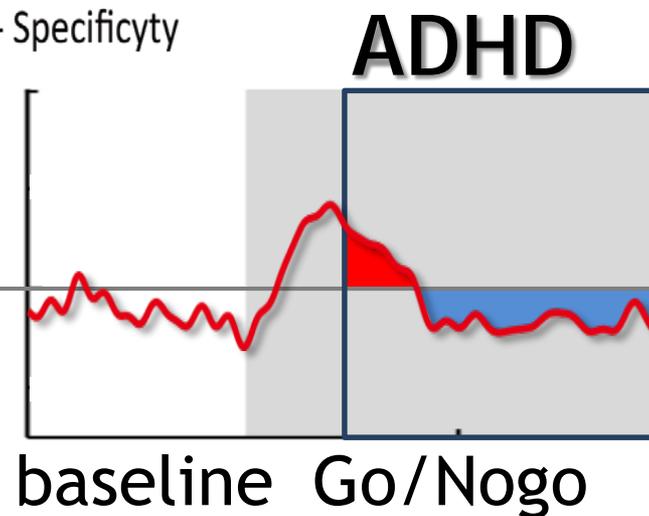
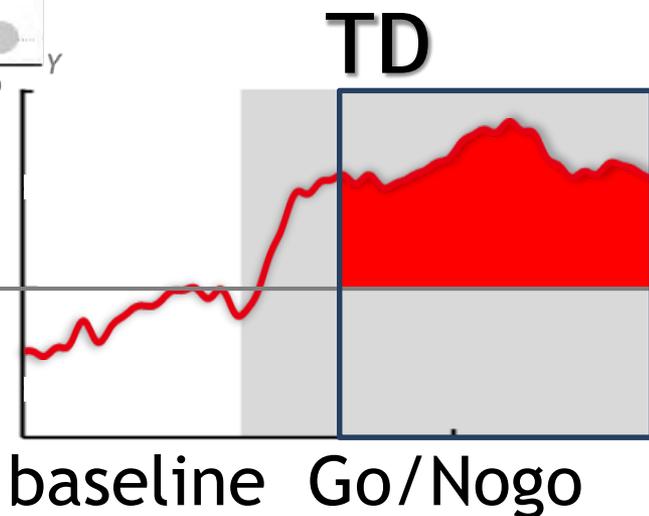
Activation focus  
in rPFC



Sensitivity  
80%  
Specificity  
83%

Ch6 > 0.004  
AND  
Ch10 > 0.004  
== TD

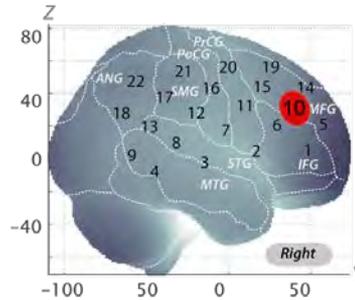
Cut-off  
value  
**0.004**  
(Mmm)



# But, individual-level analysis may be difficult

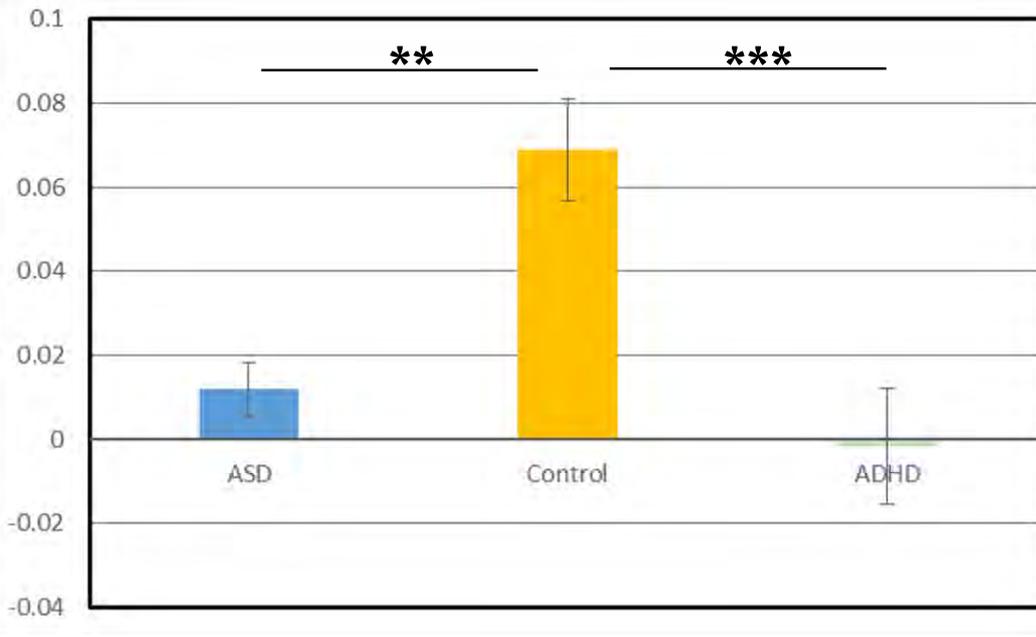
ASD vs  
ADHD vs  
TD Cntrl

ASD:  $10.5 \pm 2.3$   
ADHD:  $10.8 \pm 2.2$   
TD:  $10.8 \pm 1.7$   
N=17 (M14)



$F(2,48)=11.16$   
( $p=0.00$ )  
 $\eta^2=0.316$

TD vs ASD :  
 $t=4.18(p=0.002)$  ,  
 $d=1.43$   
TD vs ADHD:  
 $t=3.83(p=0.000)$  ,  
 $d=1.32$



TD-ADHD distinction may be possible when only they are present.  
But ADHD-ASD distinction is difficult.  
They are spectral differences.

# fNIRS-based Neuropharmacology

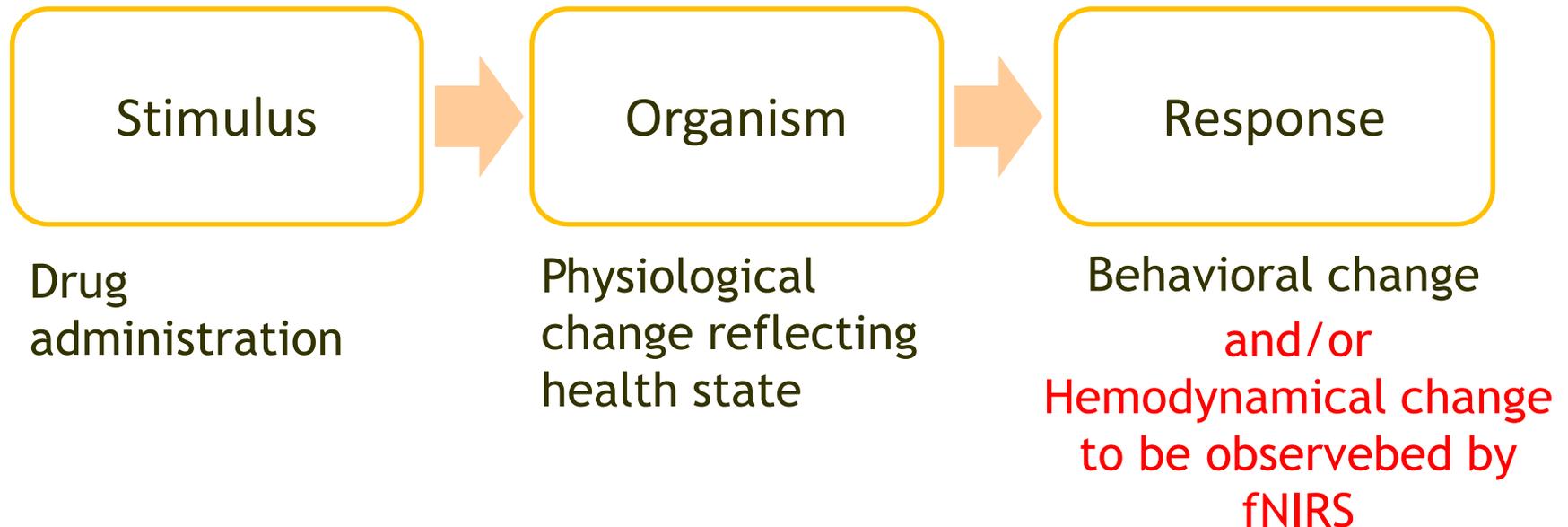
Although fNIRS-based diagnosis is difficult,  
fNIRS-based neuropharmacology is promising

# Conceptual basis of neuropharmacological fNIRS = Behavioral neuropharmacology

Studying effects of drug on behavior.

This area of study has become popular around '80.

Basic concept is based on SOR model in neobehaviorism by Hull (1943)



Another objective of the discipline is to find neurochemical mechanism underlying behaviors.

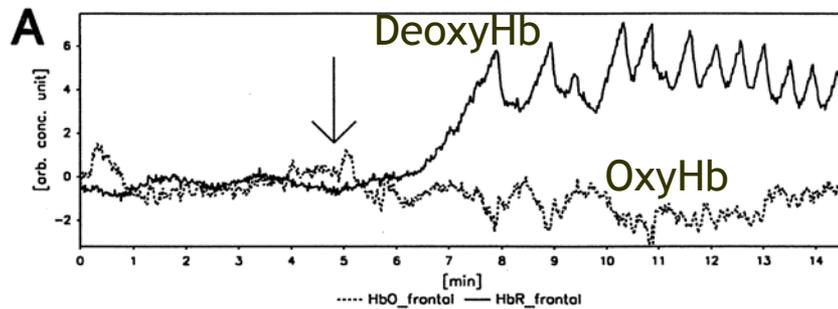
*-Behavioural Pharmacology =journal*

# First stage of neuropharmacological fNIRS

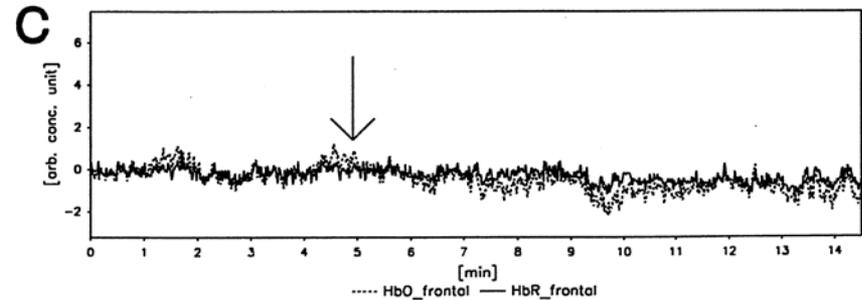
Basic concept: Administering drug, and see what happens

Intravenous heroin injection. Stohler et al (Drug Alcohol Depend. 1999)

Frontal hemodynamical change



Heroin to heroin-dependent subject



Saline to healthy control

What is the source of such hemodynamic changes?

Cognitive/Perceptual?

Physiological?

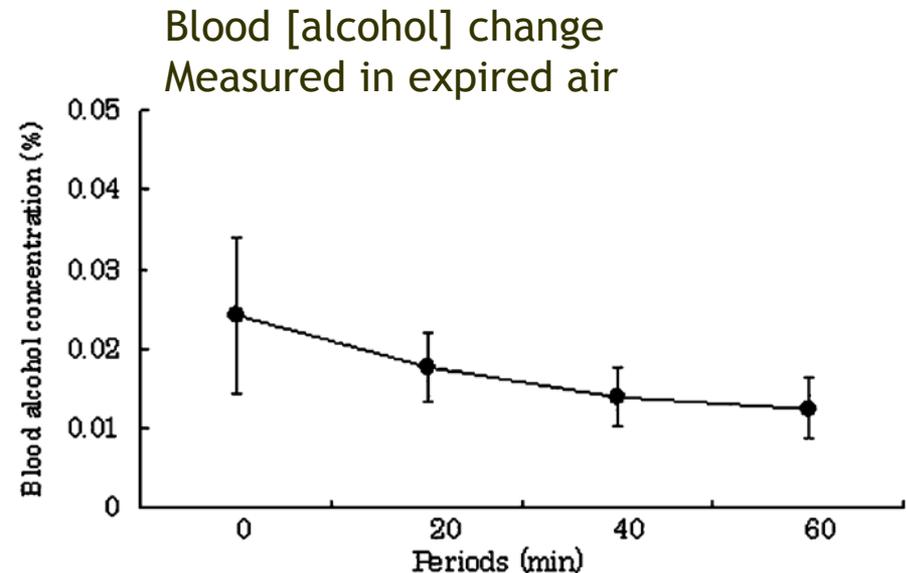
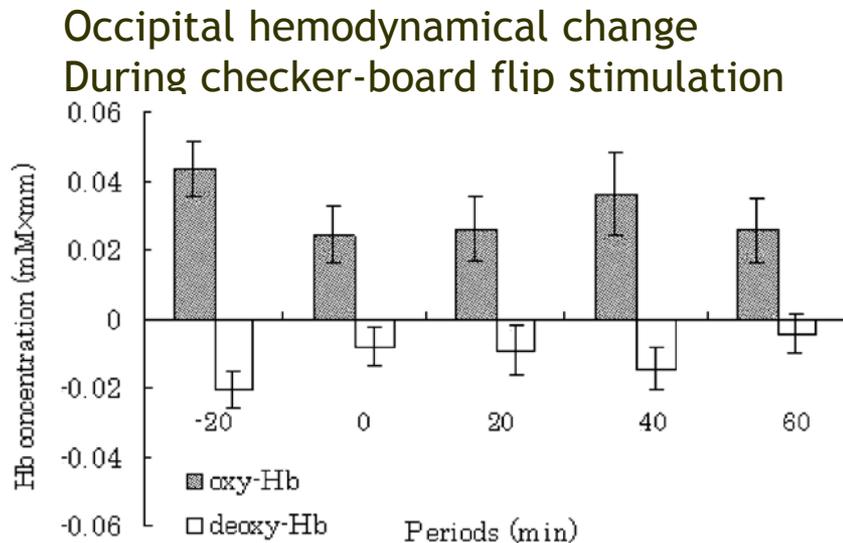
Systemic?

Skin blood flow?

# Second stage of neuropharmacological fNIRS

Basic concept: Administering drug, **performing a relevant task**, and see what happens

Alcohol (whisky) intake. Obata et al (Psychiatr Res, 2003)



What is the source of such hemodynamic changes?

Cognitive/Perceptual? **More likely**

Physiological?

Systemic?

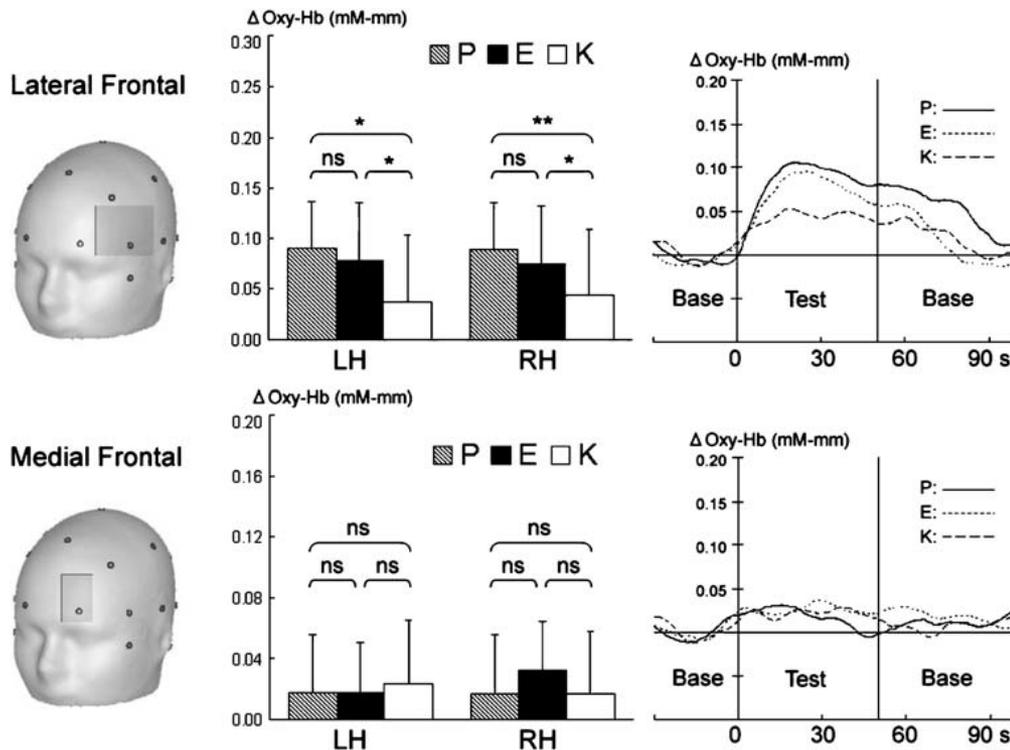
Skin blood flow?

# Third stage of neuropharmacological fNIRS

Basic concept: Administering drug, **double-blind, placebo-controlled**, performing a relevant task, and see what happens

First-generation H1-antagonist (ketotifen) vs Second-generation H1-antagonist (epinastine) vs Placebo (Tsuji et al Psychopharmacology, 2007)

Frontal hemodynamical change  
During two-back working memory task



What is the source of such hemodynamic changes?  
Cognitive/Perceptual?

**Yes**

Physiological?

Systemic?

Skin blood flow?

**Not likely**

Task-specific **activation** and **no-activation** are important indicators of what's happening in **brain**

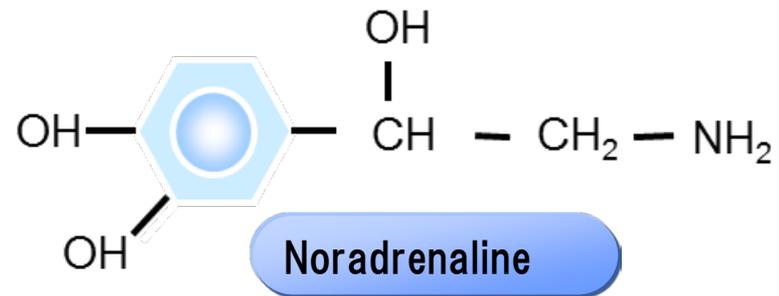
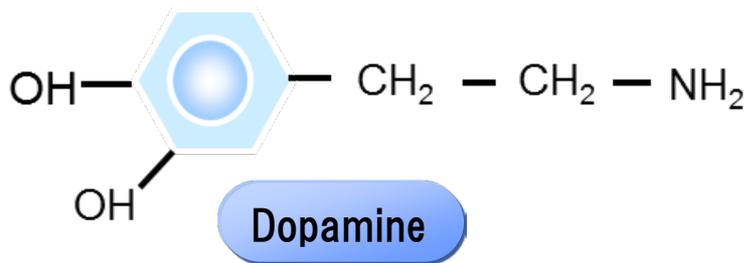
# Neuropharmacological fNIRS on ADHD

Are they different in cortical representation?  
Can they be distinguished?

# Medication for ADHD Children

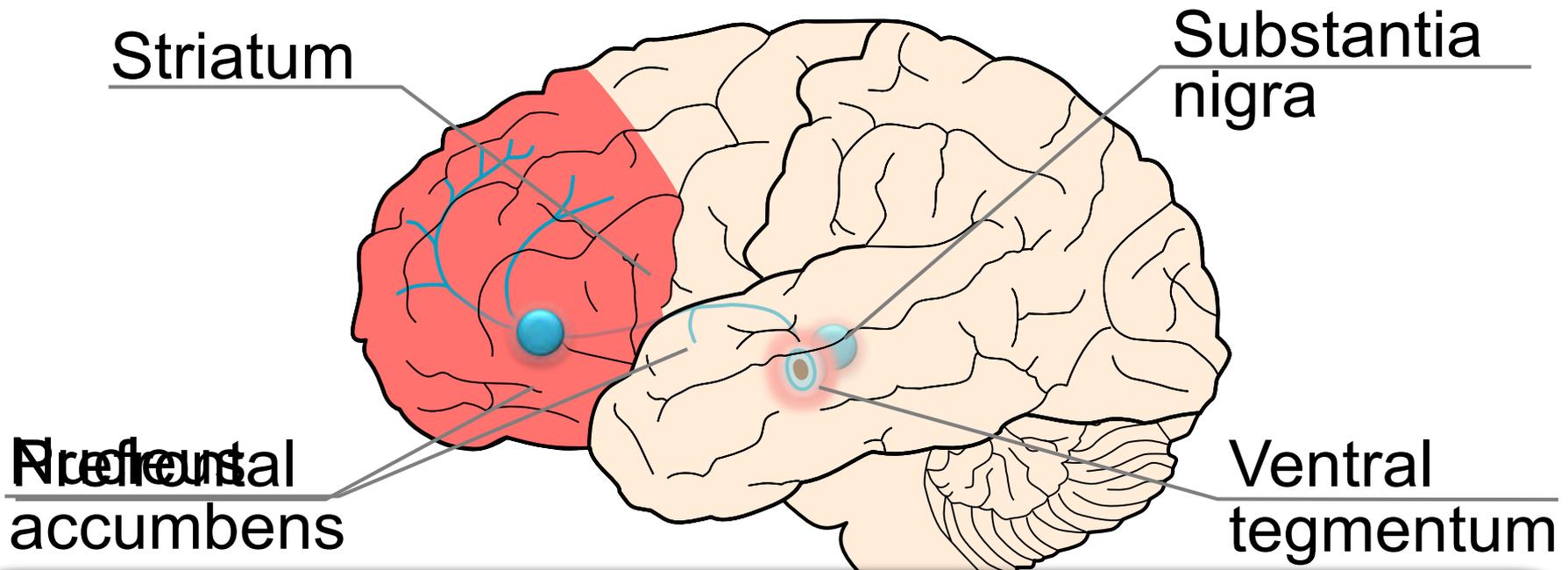
- Drug treatment is widely practiced:
  - methylphenidate (MPH), dopamine agonist
  - atomoxetine (ATX), noradrenaline agonist
- MPH and ATX inhibit catecholamine reuptake by blocking their transporters
- Each of MPH and ATX is effective for 70% of ADHD children
- High discontinuous rate is problem (30% or more)
  - mainly due to harmful rumors
- Biological marker for objectively assessing their efficacy

fNIRS may be useful



# Dopaminergic pathways

Striatum ← Substantia nigra



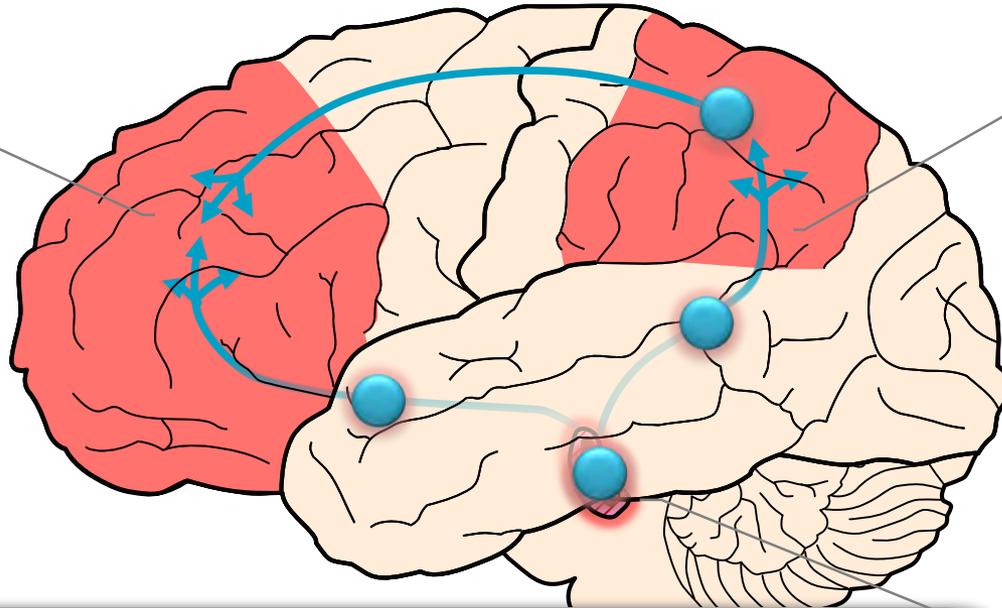
Nucleus accumbens ← Ventral tegmentum

# Noradrenergic pathways

Prefrontal ← Parietal    Parietal ← Locus ceruleus

Prefrontal

Parietal

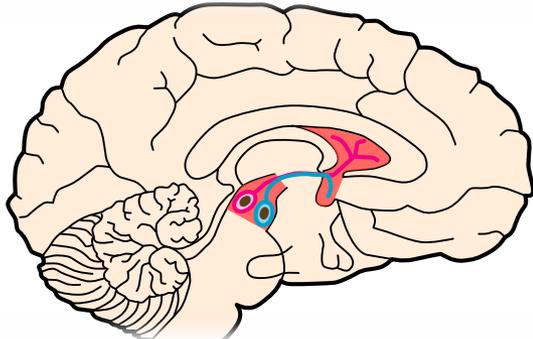


Prefrontal ← Locus ceruleus

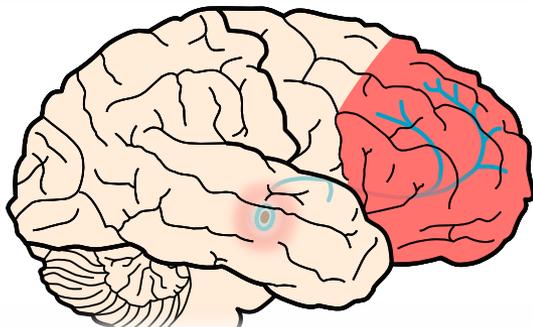
Locus  
ceruleus

## DA pathways

Substantia nigra → Striatum



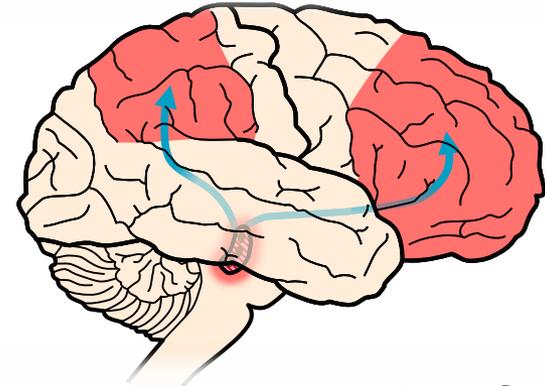
Ventral tegmentum → Nucleus accumbens



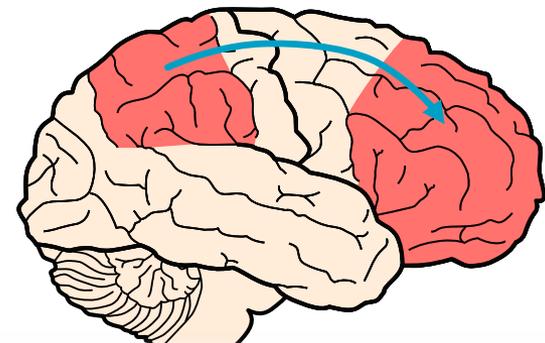
Ventral tegmentum → Prefrontal

## NA pathways

Locus ceruleus → Parietal



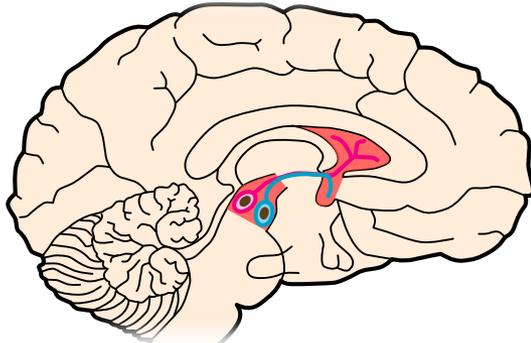
Locus ceruleus → Prefrontal



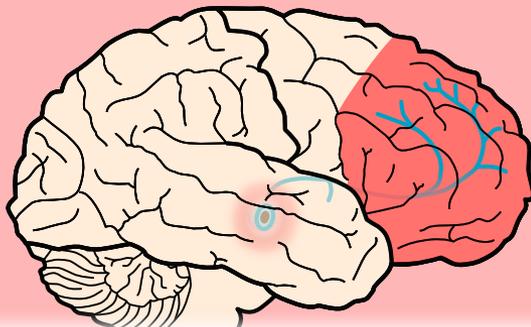
Parietal → Prefrontal

# fNIRS system covers Cerebral cortex

Substantia nigra → Striatum

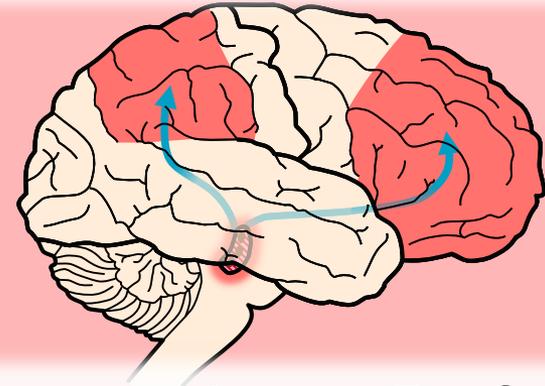


Ventral tegmentum → Nucleus accumbens

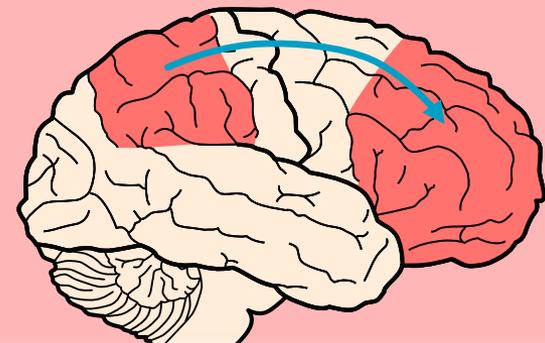


Ventral tegmentum → Prefrontal

Locus ceruleus → Parietal



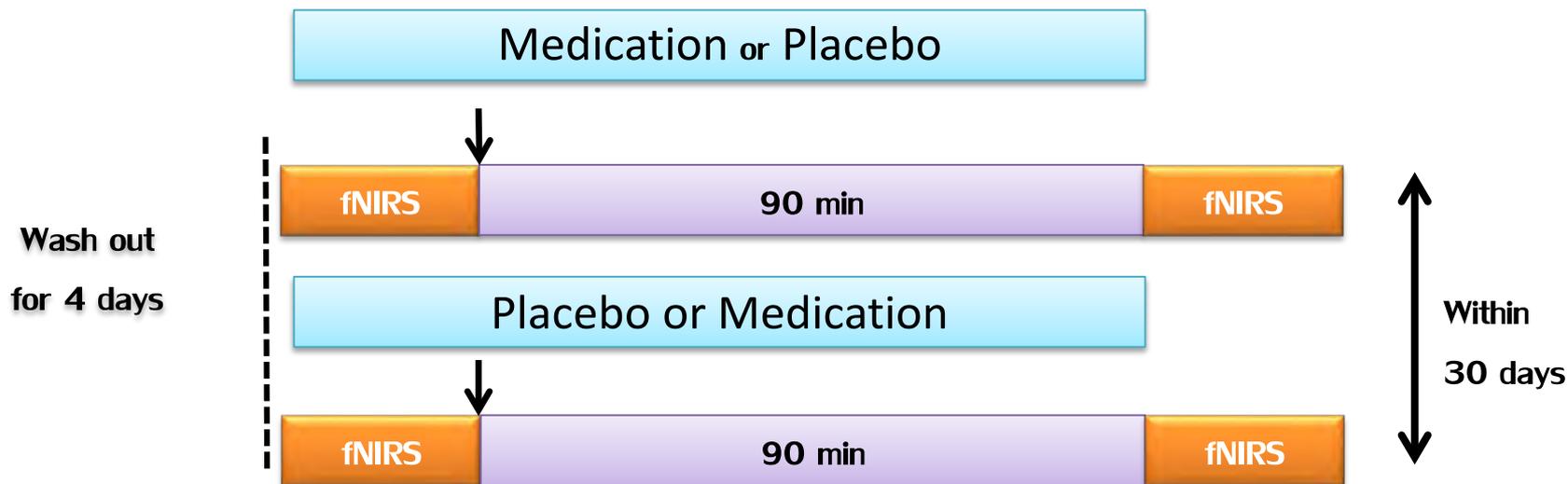
Locus ceruleus → Prefrontal



Parietal → Prefrontal

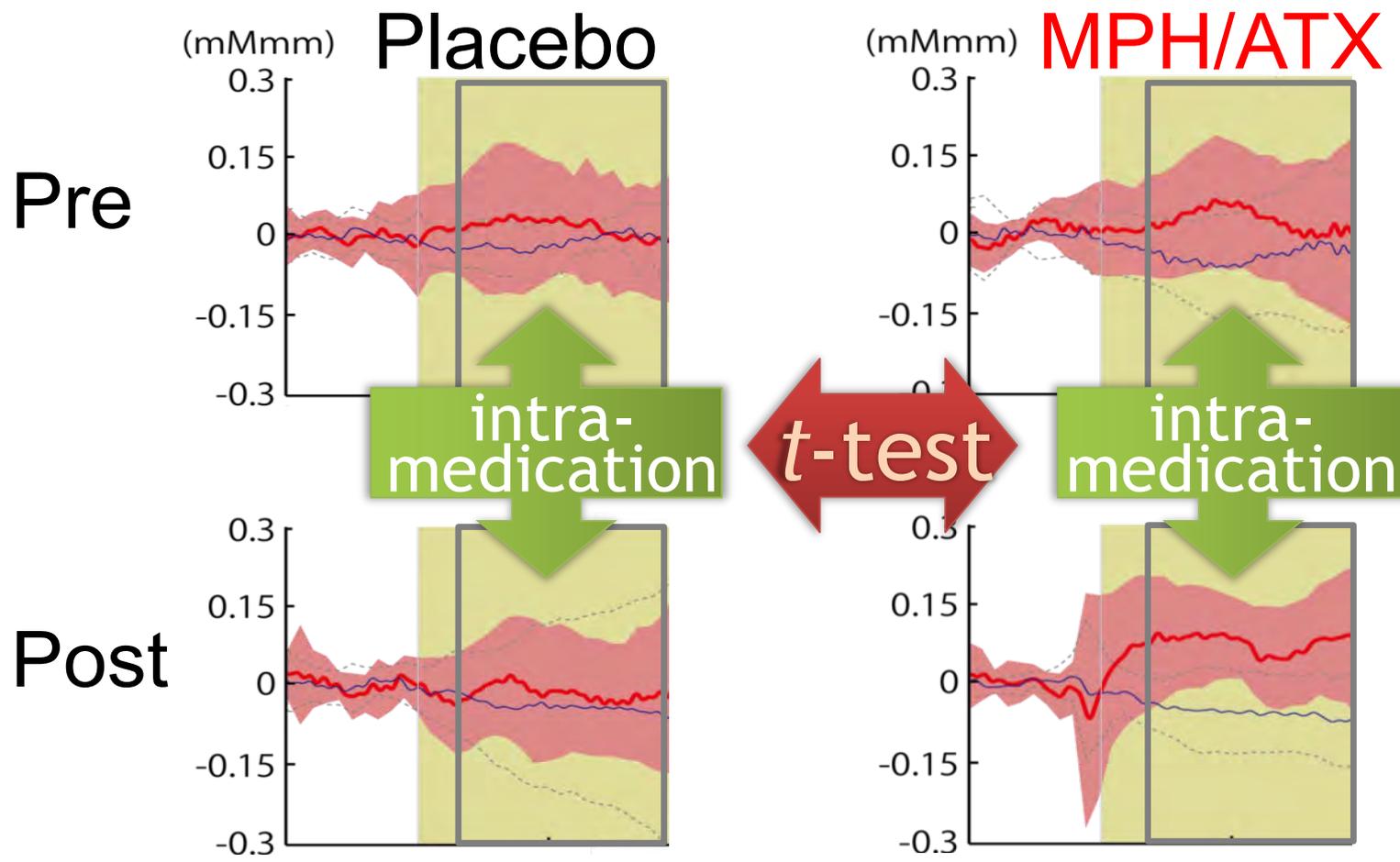
# Study design for neuropharmacological assessment of ADHD children

- Assessing effects of MPH or ATX
- On inhibitory (Go/nogo task) or attentional (oddball task) controls
- Randomized, double-blind, placebo-controlled, crossover design
- 6-14 years ADHD children (N=69 in total)
- Comparison with unmedicated, age- sex-matched typically-developing control subjects



# Neuropharmacological assessment: comparison

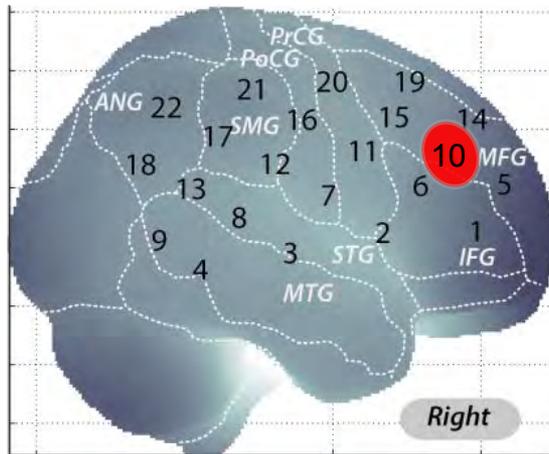
【Inter-medication contrast】:  
Intra-medication vs. intra-placebo



# Neuropharmacological assessment: Results

**MPH**

ADHD N=16

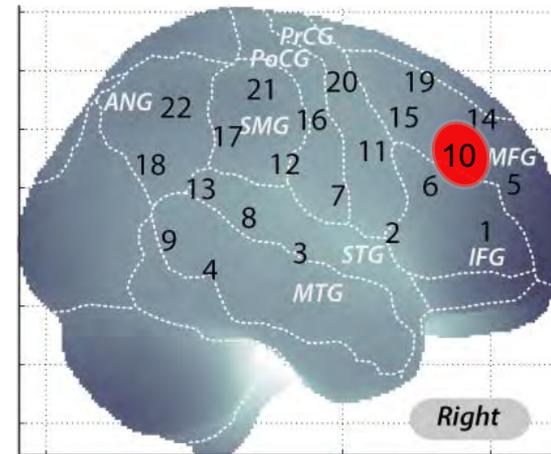


Effect size  
**0.95**

Monden et al., NeuroImage:Clin (2012)

**ATX**

ADHD N=16



Effect size  
**0.68**

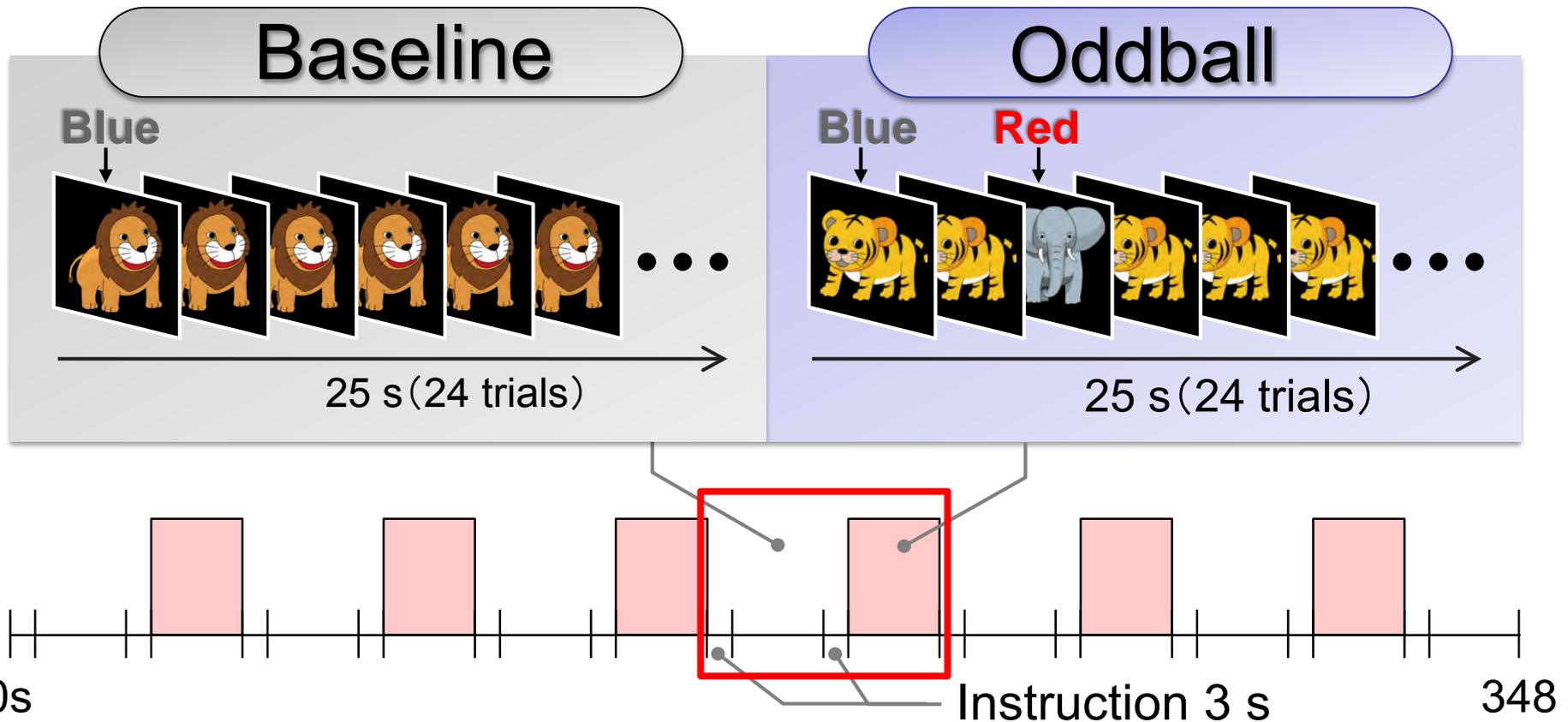
Nagashima et al., Neurophotonics (2014a)

Activation was reduced in pre-medicated ADHD and normalized by MPH and ATX.  
rPFC activation = disease state marker

# Another aspect of ADHD is made visible

fNIRS-based neuropharmacology is also (or more) effective for assessing attentional dysfunction

# Oddball task to assess selective attention



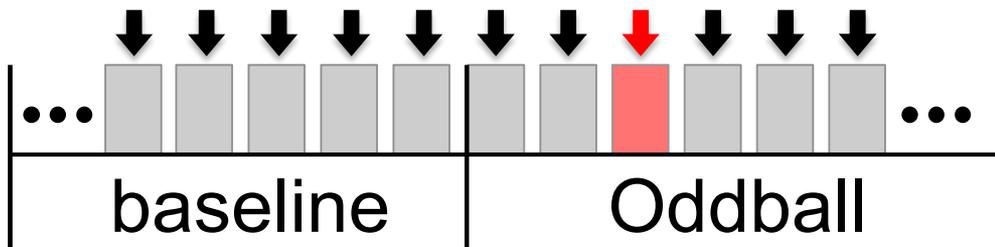
Tiger: Press the blue button

Elephant: Press the red button =target detection

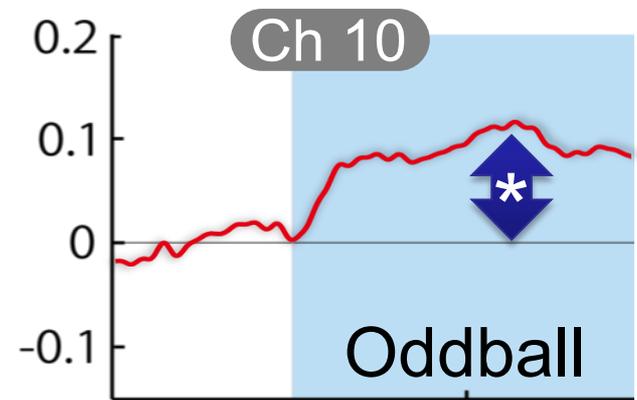
# Cortical activation

## TD control (n=22)

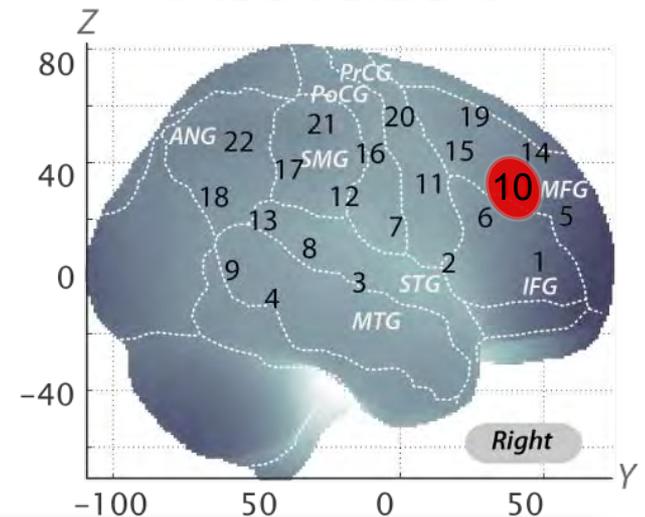
Nagashima et al., Neurophotonics (2014a)



	ES	P
Ch 10	0.98	0.0002



Basal level

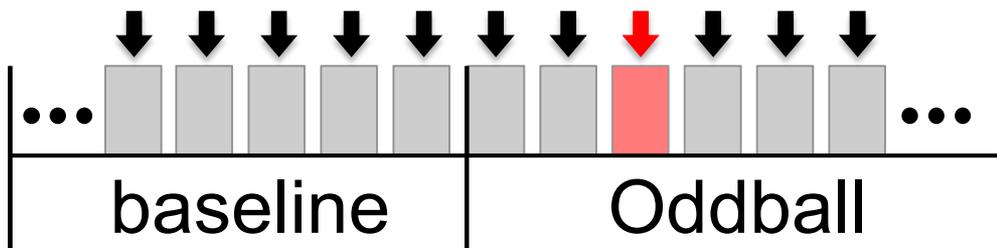


Activation in the right IFG/MFG

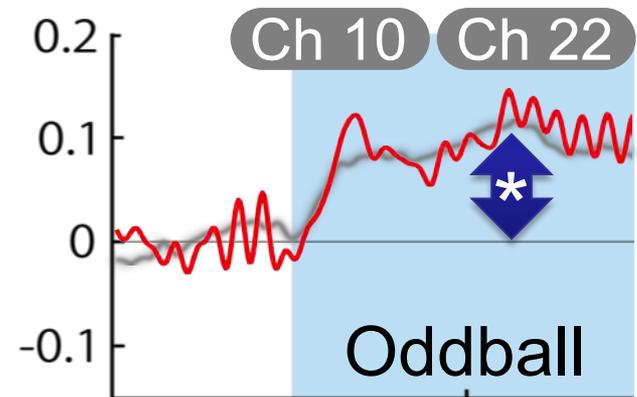
# Cortical activation

## TD control (n=22)

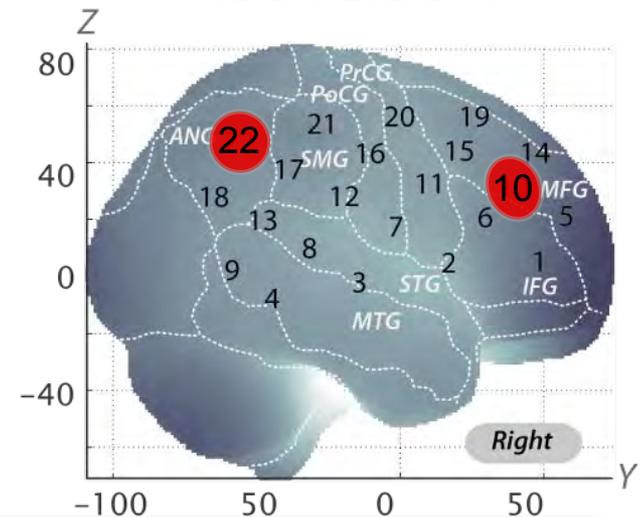
Nagashima et al., Neurophotonics (2014a)



	<b>ES</b>	<b>P</b>
Ch 10	<b>0.98</b>	<b>0.0002</b>
Ch 22	<b>1.01</b>	<b>0.0001</b>



## Activation

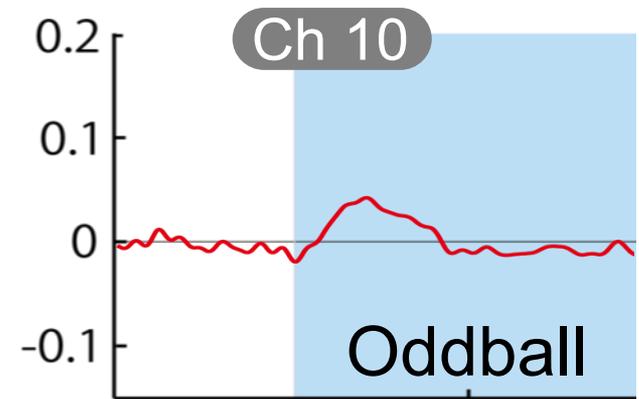
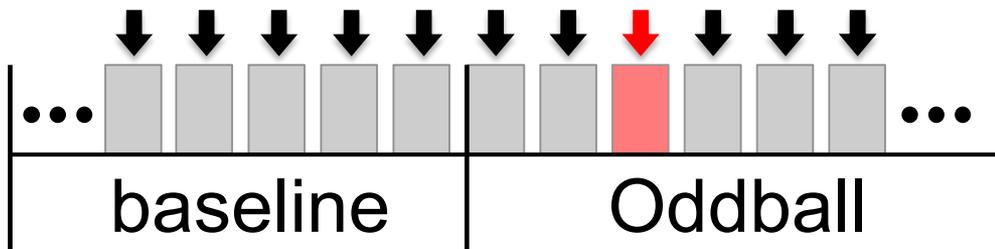


Activation in the right IFG/MFG  
+Inferior parietal cortex

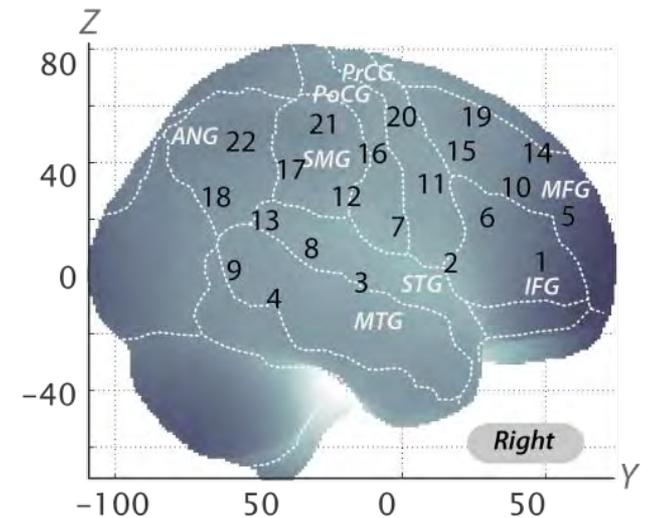
# Activation, oddball task

**ADHD** (n=22)

Nagashima et al., Neurophotonics (2014a)



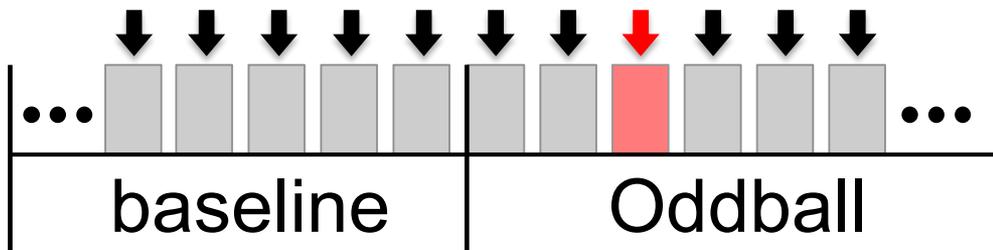
No activation



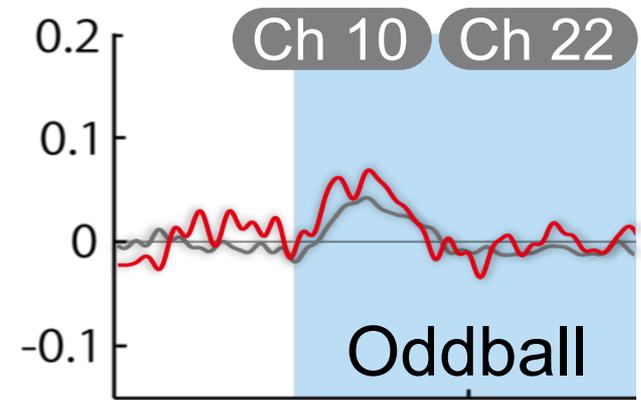
# Activation, oddball task

**ADHD** (n=22)

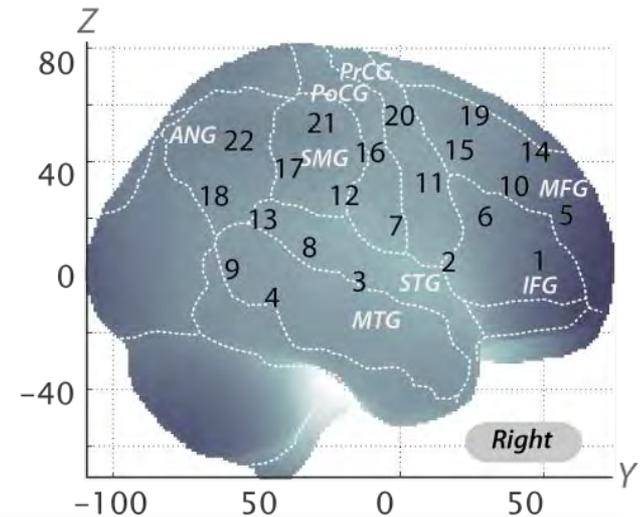
Nagashima et al., Neurophotronics (2014a)



	<b>ES</b>	<b>P</b>
<b>Ch 10</b>	<b>0.21</b>	<b>0.9242</b>
<b>Ch 22</b>	<b>0.02</b>	<b>0.9351</b>

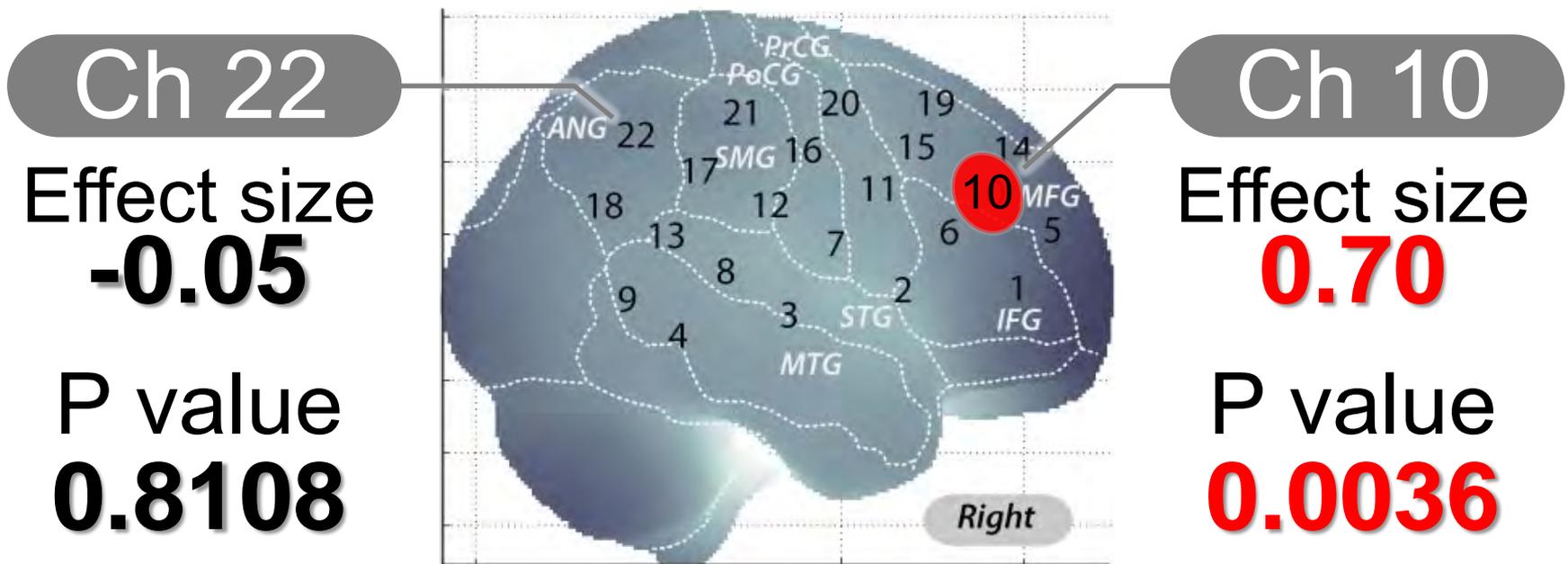


No activation



Pre-medicated ADHD children exhibited reduced brain activation in the right IFG/MFG & IPC

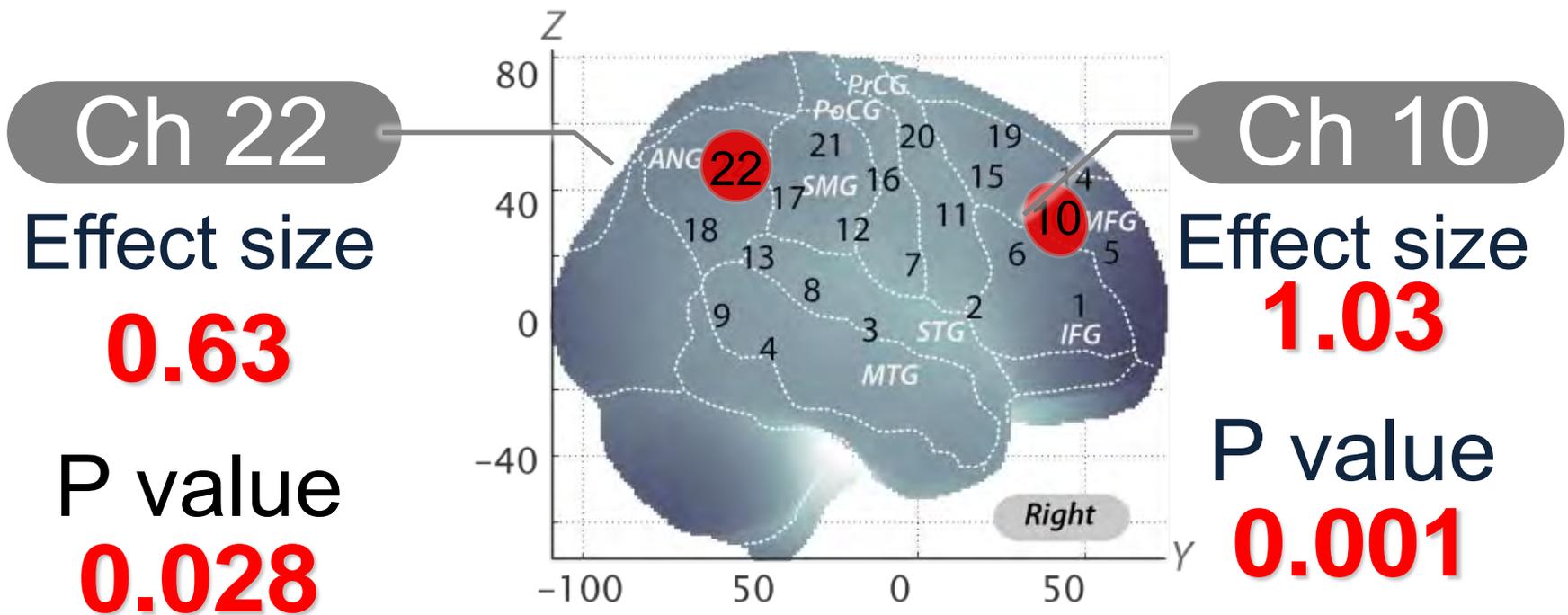
# Effects of MPH medication: oddball



Nagashima et al., Neurophotonics (2014a)

Reduced PFC activation in pre-medicated ADHD  
was normalized by MPH but not for IPC

# Effects of ATX medication: oddball



Nagashima et al., Neurophotonics (2014b)

Reduced PFC and IPC activation in pre-medicated ADHD were BOTH normalized by ATX

# Interpretation

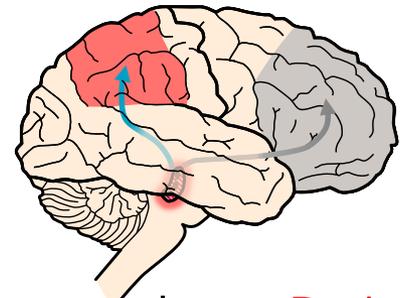
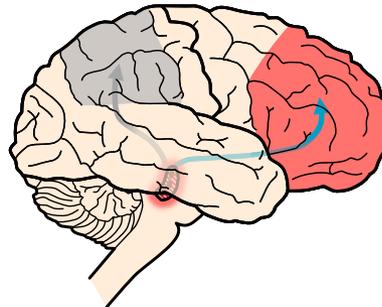
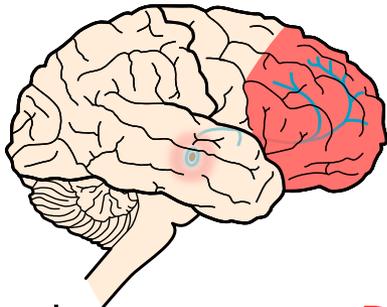
MPH

ATX

**Dopamine sys.**

**Noradrenaline sys.**

**Noradrenaline sys.**



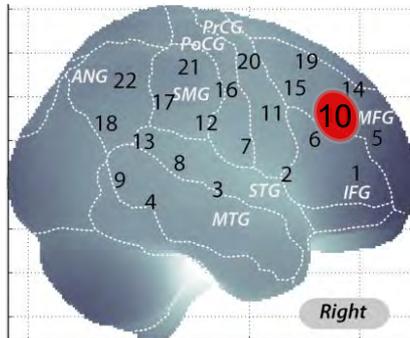
Ventral tegmentum - PFC

Locus ceruleus - PFC

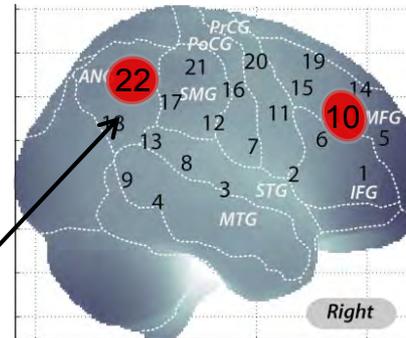
Locus ceruleus - Parietal

**PFC  
functional  
normalization**

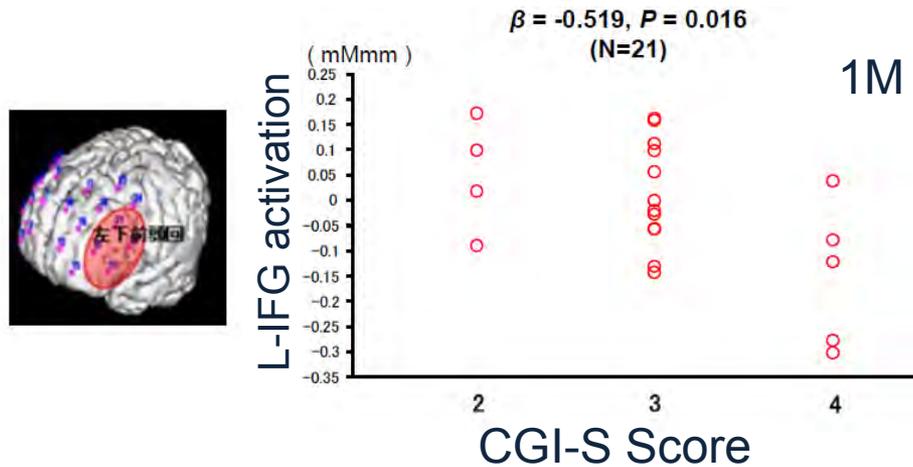
**PFC & parietal  
functional  
normalization**



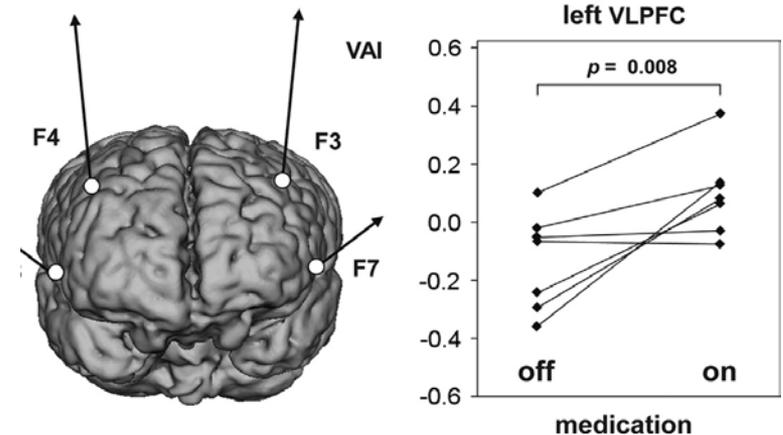
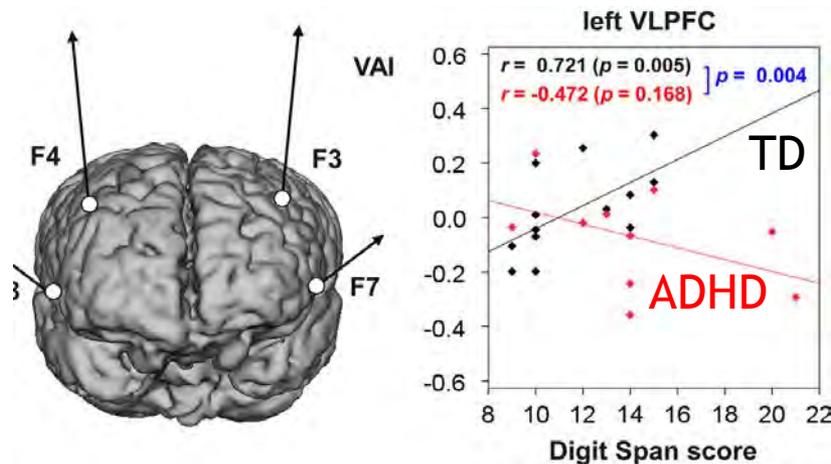
Not recruited for  
inhibitory control



# Other neuropharmacological studies



L-IFG activation for stop-signal task (double-blind, placebo-controlled, crossover design) is a good predictor of MPH treatment effects on ADHD children after 1M and 1Y. (Ishii-Takahashi et al. Neuropsychopharmacol, 2015)



Shift to visual from phonological WM (VAI) is visible in VLPFC of TD but not in that of ADHD. This is recovered by MPH administration. (Sanefuji et al. NIMG, 2014)

**Should be assessed from various facets**

# Conclusion

fNIRS can detect task-specific, regionally differential neuropharmacological effects of MPH and ATX on ADHD children

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- Right prefrontal and inferior parietal activation would serve as biomarkers for MPH & ATX effects
- More robust than behavioral data
- Applicable as early as 6 years old children
- Note that MPH & ATX users were assessed
  - Not for screening purpose
  - May be best used to increase medical compliance in ADHD treatment

Effects of drug treatment  
are made visible by fNIRS

# Collaborators



Jichi Medical University  
Department of Pediatrics  
Yukifumi Monden



Chuo University  
Masami K Yamaguchi



Japan Women's Univ.  
Dpt of Psychology  
So Kanazawa



Dokkyo Medical Univ.  
Ryoichi Sakuta

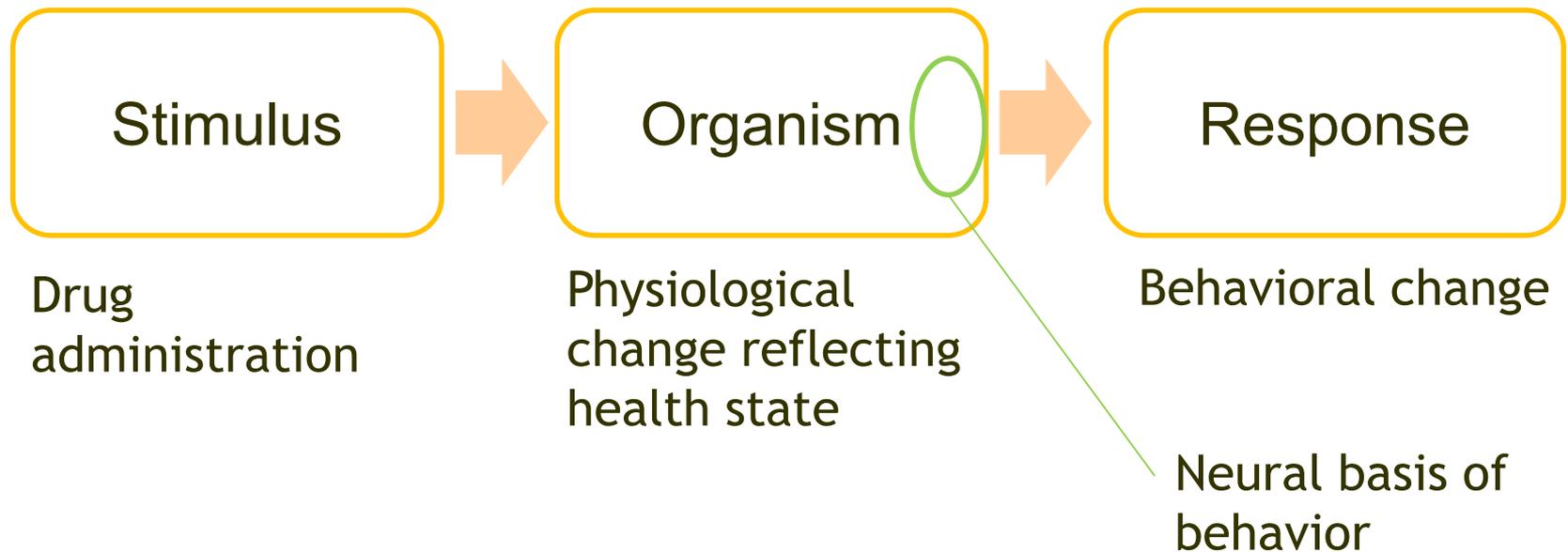
on behalf of RISTEX ADHD Diagnosis  
Consortium

# Additional discussion

fNIRS-based neuropharmacology is also (or more) effective for assessing attentional dysfunction

# Behavior vs Cortical response

Where is neural basis of behavior located?



Is there one-to-one relation between behavior and its neural basis?

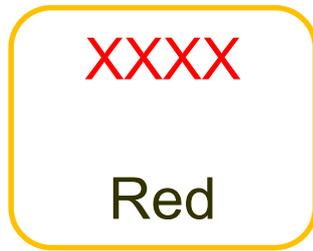
# Example of a happy case

Stroop test

Incongruent



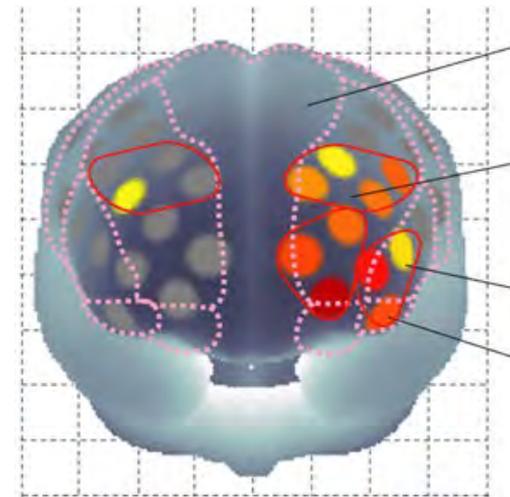
Neutral



Incongruent - Neutral  
= Stroop interference

Hemispheric Asymmetry Reduction  
in OLDER adults (HAROLD)

What affects HAROLD?



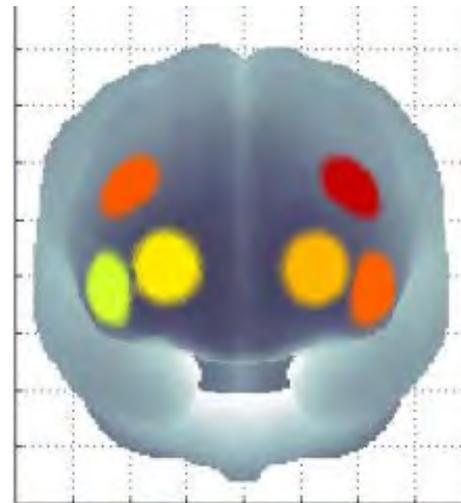
Young  
adults

DLPFC

VLPFC

FP

(Yanagisawa et al. NIMG 2010)



Older  
adults

(Hyodo et al. Neurobiol. Aging 2012)

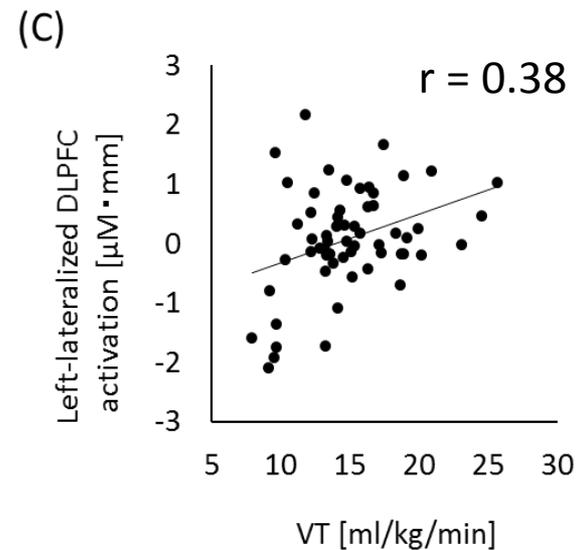
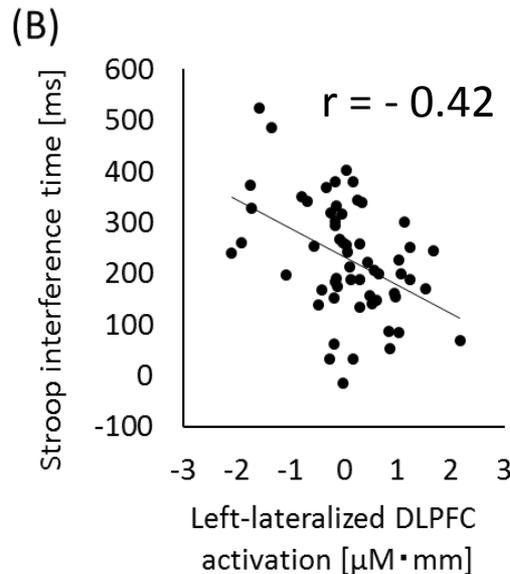
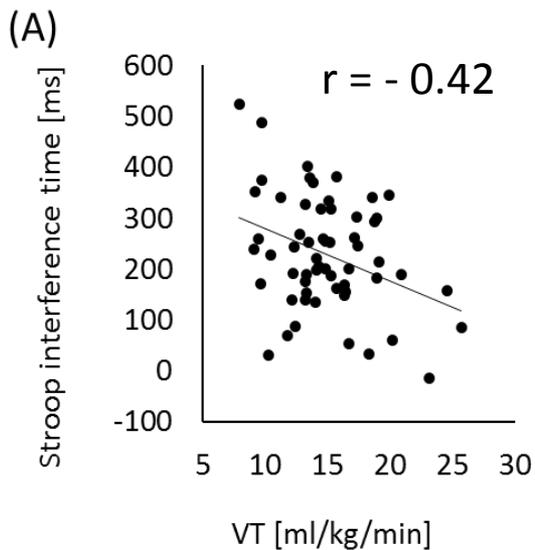
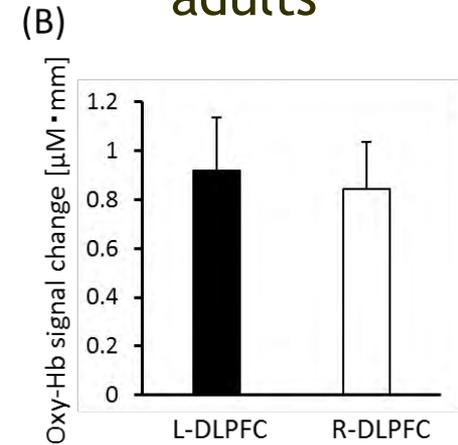
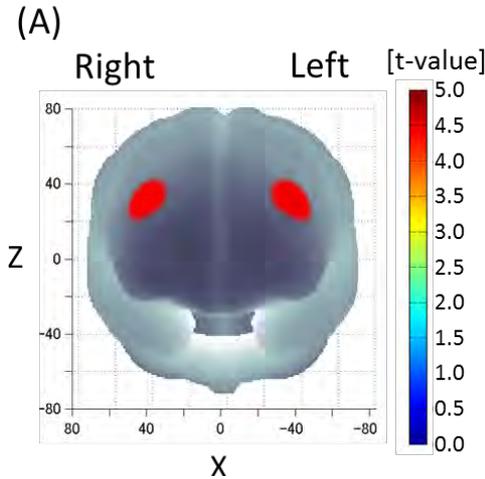
# Example of a happy case

DLPFC  
Young  
adults

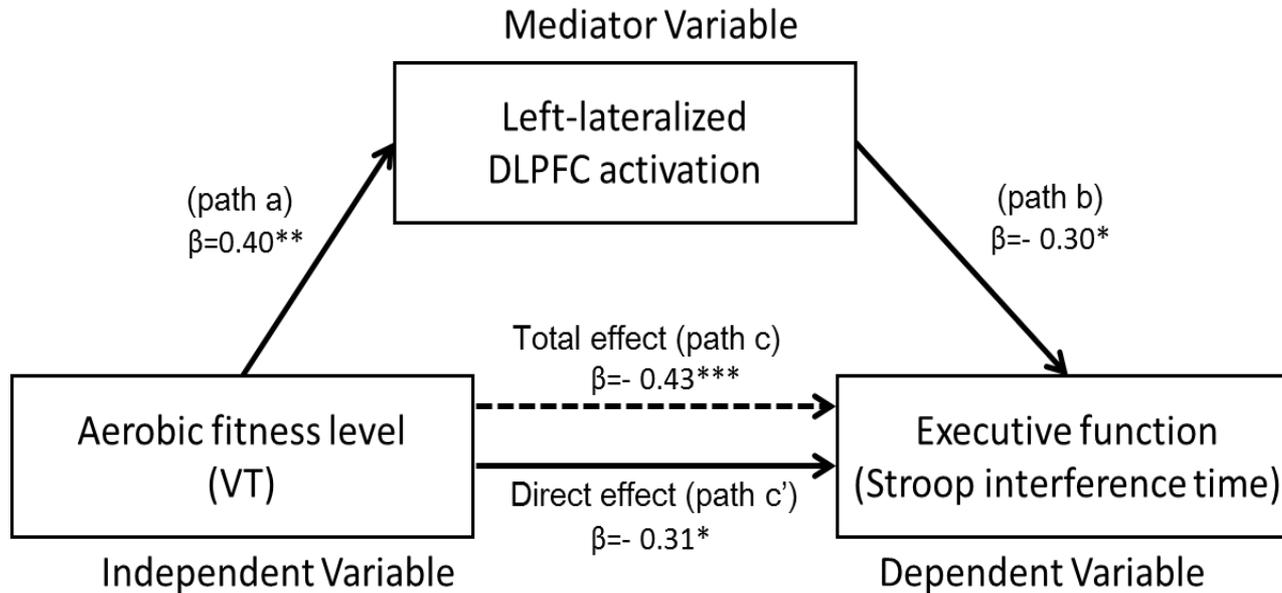
Ventilation  
threshold test:  
Athletic ability



Stroop test:  
Cognitive  
ability



# Example of a happy case



Behavioral performance is better interpreted via cortical activation pattern

-Association between cortical activation and behavior is not 100%  
-Statement, “neural basis of behavior” is valid when  $|r|=1$

They used right DLPFC and performed well!

Excessive reliance on behavioral parameter should be avoided

